SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* ALBERINI CARLOS				2. Issuer Name and Ticker or Trading Symbol <u>Restoration Hardware Holdings Inc</u> [RH]						5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director X 10% Owner			
(Last) 15 KOCH ROAI	(First) D, SUITE J	(Middle)	3. Date 07/17/	of Earliest Transacti 2013	on (Mont	h/Day	/Year)			Director Officer (give title below) Co-Chief Exc		(specify	
(Street) CORTE MADERA (City) (State) (Zip)			4. lf Am	4. If Amendment, Date of Original Filed (Month/Day/Year)					6. Indiv X	6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person			
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned													
Date			2. Transaction Date (Month/Day/Year	Execution Date,		ction nstr.	4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5			5) 5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership	
				Code	v	Amount	(A) or (D)	Price	(Instr. 3 and 4)		(Instr. 4)		
Common Stock			07/17/2013		S		178,000	D	\$70 ⁽¹⁾	1,053,349	D		
												By	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

Date

Exercisable

5. Number of

Acquired (A)

or Disposed of

(D) (Instr. 3, 4

(D)

Derivative

Securities

and 5)

Transaction

Code (Instr.

8)

Code v (A) 6. Date Exercisable and

Expiration

. Date

Expiration Date

. (Month/Day/Year)

Explanation of Responses:

Common Stock

1. Title of

Derivative

Security (Instr. 3)

1. The Reporting Person sold shares to the underwriters in connection with the Issuer's follow-on offering.

2. By Carlos E. Alberini as trustee of the Carlos E. Alberini Family Trust, dated November 1, 2012.

07/19/2013 Edward Lee as Attorney-In-Fact Date

251,414

9. Number of

derivative

Securities

Owned

Beneficially

Following

Reported Transaction(s)

(Instr. 4)

8. Price of

Derivative

Security

(Instr. 5)

I

10

Ownership

Direct (D)

or Indirect

(I) (Instr. 4)

Form:

Trust⁽²⁾

11. Nature of Indirect

Beneficial

(Instr. 4)

Ownership

** Signature of Reporting Person

Amount

Number

of Shares

7. Title and Amount of

Derivative Security

(Instr. 3 and 4)

Title

curities Underlying

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

3A. Deemed

if anv

Execution Date

(Month/Day/Year)

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

3. Transaction

(Month/Dav/Year

Date

Conversion

or Exercise

Price of

Security

Derivative

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.