SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address <u>ALBERINI CA</u> | | suer Name and Tick 【 [RH] | er or Trading S | ymbol | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner | | | | | | |
|--|---------|---------------------------------------|-----------------|---|------------------|--|---|----------------------------|------------------|-----------|--|--|
| (Last) | (First) | (Middle) | | ate of Earliest Transa 09/2019 | action (Month/D | ay/Year) | | Officer (give title below) | | specify | | |
| C/O RH 15 KOCH ROAD, SUITE K | | | | Amendment, Date of | f Original Filed | Month/Day/Year) | 6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person | | | | | |
| (Street) CORTE MADERA | СА | 94925 | | | | | | Form filed by More | than One Reporti | ng Person | | |
| (City) | (State) | (Zip) | | | | | | | | | | |
| | | Table I - I | Non-Derivativ | ve Securities A | cquired, Di | sposed of, or Beneficia | lly Ow | ned | | | | |
| | | | 2. Transaction | on 2A. Deemed 3. 4. Securities Acquired (A) or D Execution Date Transaction Of (D) (Instr. 3. 4 and 5) | | posed | 5. Amount of Securities | 6. Ownership | 7. Nature of | | | |

| | Date (Month/Day/Year) | if any (Month/Day/Year) | Code (In 8) | | Of (D) (Instr. 3, | , 4 and 5) | | Following Reported | or Indirect (I) (Instr. 4) | Beneficial Ownership | |
|--------------|--------------------------|----------------------------|----------------|---|-------------------|---------------|----------------------------------|------------------------------------|-------------------------------|-------------------------|--|
| | | | Code | v | Amount | (A) or (D) | Price | Transaction(s) (Instr. 3 and 4) | | (Instr. 4) | |
| Common Stock | 12/09/2019 | | S | | 24,900 | D | \$239.8904 ⁽¹⁾ | 45,446 | D | | |
| Common Stock | 12/09/2019 | | S | | 100 | D | \$240.59 | 45,346 | D | | |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| | | (e.g., | , puis, | cans | , warr | ants, c | puons, co | Inventible | e securite | :5) | | | | |
|--|---|---|--|------|---|---------|--|--------------------|---|--|---|--|--|--|
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. 5. Number of Derivative Code (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | 6. Date Exerce Expiration Da (Month/Day/) | ate | 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4) | | 8. Price of Derivative Security (Instr. 5) | 9. Number of derivative Securities Beneficially Owned Following Reported | Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) | | |
| | | | Code V | v | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | Transaction(s) (Instr. 4) | | |

Explanation of Responses:

1. This transaction was executed in multiple trades ranging from \$239.50 to \$240.31. The price reported above reflects the weighted average sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer, full information regarding the number of shares sold at each separate price within the range set forth herein

Remarks:

/s/ Edward T. Lee as Attorney-In-12/11/2019

Fact ** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Washington, D.C. 20549